

CIFSC Responsible Investment Identification Framework

The CIFSC identifies funds that apply one or more responsible investing (RI) approaches. In recognition of the different interpretations and definitions of responsible investing, the CIFSC considers responsible investing to be an umbrella term that encompasses sustainable investing, ESG investing and any other strategy that would fall into one or more of the approaches listed in this document.

Scope and Intent

Given the rapidly developing nature of global ESG-related and sustainable investing frameworks, the CIFSC's intent is not to further complicate the global or Canadian landscape, but rather to develop a pragmatic identification standard that assists Canadian investors and their advisors, and allows for investors and fund manufacturers to align on common language and definitions. The CIFSC notes that this is an identification framework and not a labeling standard. The scope of this framework is limited to investment funds offered in Canada or listed on Canadian exchanges and is complementary to, and not in conflict with regulation and related guidance from the Canadian Securities Administrators and is aligned with global developments such as the CFA Institute's Global ESG Disclosure Standards for Investment Products. The framework is not meant to measure performance or magnitude, but rather to assist investors with CIFSC's reasonable determination of which responsible investment approaches are stated in regulatory documents from fund manufacturers. Though the CIFSC encourages transparency by fund manufacturers such as through the use of CFA Institute's Global ESG Disclosure Standards for Investment Products, a claim of compliance with these Standards for a given investment fund is not a requirement to be identified under the CIFSC's Responsible Investment Identification framework. The CIFSC reserves the right to iterate on the current framework as Canadian and global standards, regulation, and practices further develop, taking into consideration public comment prior to changes.

For additional clarity and to assist investors and fund manufacturers, Appendix A outlines the specific sections of the CFA Institute's Global ESG Disclosure Standards for Investment Products corresponding to each CIFSC RI Framework responsible approach, as well as to illustrative related terminology referenced in regulatory guidance under CSA staff notice 81-334.

Identification Framework

In broad terms, RI, ESG investing, or sustainable investing mean that some combination of environmental, social, governance, and sustainability factors are incorporated into the investment process. Environmental criteria measure the impact that a company has on the environment, or the impact that the environment has on the company. Social criteria measure how well a company treats its employees and customers, deals with human rights, avoids corruption and the impact a company has on the community where it operates or the impact the community has on the company. Governance criteria evaluate the leadership of a company, executive compensation, board oversight, board diversity, internal controls, and shareholder rights.

To be identified under the CIFSC Responsible Investment framework, a fund must have an investment mandate stated in the prospectus investment objectives relating to a responsible approach, and/or a separate document compliant with CFA Institute's *Global ESG Disclosure Standards for Investment Products* or other widely accepted disclosure standards. For non-prospectus funds, the CIFSC will consider other regulatory offering documents such as an offering memorandum. Additional information will be considered provided it is not contradictory to the publicly available information in regulatory or disclosure documents. In addition, the fund's stated responsible investing approach must meet the criteria for at least one of the RI approaches listed below.

Responsible Investment Approaches

Each Responsible Investment Approach in this document is broken down into two parts.

1. Definition: a description of the criteria for fund identification under the RI approach and some insight as to how the approach is typically implemented.
2. Fund Universe: some examples of the types of funds that might be identified under the approach, and some of the common terminology that these funds are using.

The Responsible Investment Approaches below are not meant to be mutually exclusive. Investment products can be identified as using more than one of the approaches.

ESG Integration and Evaluation

Definition

The fund uses Environmental, Social and Governance (ESG) criteria as an essential component of the evaluation method for security selection alongside traditional financial factors, such that all securities in a portfolio have been evaluated based on ESG factors and the ESG factors are significant and influential in the buying and selling of securities in the portfolio.

Fund Universe

Funds with the following strategies and terminology may be identified under this approach: socially responsible investing, ESG factor investing, ESG rules based, passive ESG index investing and others.

ESG Thematic Investing

Definition

In general, thematic funds identify disruptive themes and seek to invest in companies that stand to benefit from them through products and services. ESG Thematic funds have a specific focus on themes that fit into one or more of the Environmental, Social or Governance buckets but does not focus on all the elements of the ESG spectrum. For example, this includes funds with a particular environmental focus that evaluate companies based on environmental factors. The ESG Theme must be the primary evaluation method for security selection, such that at the overall portfolio level, the degree to which the theme is integrated is well documented and measurable.

Fund Universe

Funds with the following strategies and terminology may be identified under this approach: environment leaders, board diversity, cleantech, women in leadership, low carbon, and others.

ESG Exclusions

Definition

The fund has specific sectors, industries, materials, or companies that will be excluded from the investible universe based on ESG criteria or other specific ethical considerations and can also be referred to as norms-based screening. As examples, these funds cannot hold securities issued by companies or governments that receive revenue from the sale or production of excluded materials or operate in excluded sectors or industries. Exclusions based on legal requirements, or exclusions that would result naturally from the investment mandate will not be considered. Portfolio exclusions should be clearly stated in regulatory or disclosure documents.

Fund Universe

Funds with the following strategies and terminology may be identified under this approach: exclusions, negative screening, and others.

Impact Investing

Definition

The fund invests in companies or projects that intend to have a measurable positive environmental and or social impact as well as the intent to generate a positive financial return. Funds must have a stated impact measurement and management policy.

Fund Universe

Funds with the following strategies and terminology may be identified under this approach: impact, positive change, and others. Additionally, funds that invest primarily in green bonds or sustainability-linked bonds with a stated impact objective will generally be considered impact funds.

ESG Related Engagement and Stewardship Activities

Definition

The fund's managers use the fund's position of ownership to influence the company to make decisions that increase the company's positive impact on the ESG factors. This can include collaborative efforts with peers and/or informing the board and management of specific ESG issues. The goals of the engagements, including the ESG issues that are addressed and the process for monitoring the issues, should be documented, clear and should be reflected in formal dialogue with the company's board and management and/or by voting on shareholder proposals. Engagements and Stewardship Activities are considered at the fund level.

Fund Universe

Funds with the following strategies and terminology may be identified under this approach: funds with any of the approaches outlined in this document may be considered provided they meet the definition for ESG Related Engagement and Stewardship.

ESG Best in Class

Definition

Also referred to as positive screening, these funds generally invest in securities that meet specified criteria related to ESG factors. The criteria usually include thresholds related to ESG performance or scoring on ESG factors where only securities that meet the selected thresholds are considered for investment. Thresholds should be set such that the investable universe or portfolio is comprised of securities that perform at least better than average in the ESG factors.

Fund Universe

Funds with the following strategies and terminology may be identified under this approach: best in class, ESG leaders, sustainability leaders, ESG index tracking, environmental leaders and others.

Process for New Funds and Fund Reviews

New Funds

New funds will be reviewed monthly as they are launched as part of the CIFSC's new fund process. The CIFSC will consider regulatory documents, documents following widely accepted disclosure standards, and any other available information to determine if the fund fits into one or more of the Responsible Investment Approaches.

Funds requesting identification through widely accepted disclosure standards not mentioned in this document are encouraged to clarify what standard is being used.

The Committee notes that fund of fund products do not automatically inherit the approaches inherent in component funds. Instead, the Committee seeks parent fund-level disclosures outlining the Responsible Investment Approach(es) used.

Fund Reviews

The CIFSC will review any requests to have funds added or removed from the RI list on a monthly basis. As part of the review, the committee will ask for reasoning and evidence supporting the request. This can include any changes made to the Responsible Investment Approach(es) as detailed in publicly available regulatory documents or marketing materials and/or changes to the portfolio.

Appendix A: Approaches and References to CSA Guidance and the CFA Institute’s Global ESG Disclosure Standards for Investment Products

CIFSC Responsible Investment Approach	CSA Staff Notice 81-334 - Illustrative Common ESG Strategies*	Key disclosure requirements in the Global ESG Disclosure Standards for Investment Products likely to be relevant to the CIFSC Responsible Investment Approach **:
ESG Integration and Evaluation	ESG integration	Systematic Consideration of Financially Material ESG Information in Investment Decisions – 2.A.7 Sources and Types of ESG Information – 2.A.6
ESG Thematic Investing	Thematic Investing	Portfolio Level ESG Characteristics – 2.A.11-13, Portfolio Level Allocation Targets - 2.A.14-15, ESG Screening Criteria – 2.A.9-10, ESG Investment Universe – 2.A.8, Sources and Types of ESG Information – 2.A.6.
ESG Exclusions	Negative screening (sometimes referred to as exclusionary screening or ESG exclusions)	ESG Screening Criteria – 2.A.9-10, ESG Investment Universe – 2.A.8, Sources and Types of ESG Information – 2.A.6.
Impact Investing	Impact Investing	Environmental and Social Impact Objectives – 2.A.19, Sources and Types of ESG Information – 2.A.6.
ESG Engagement and Stewardship Activities	<ul style="list-style-type: none"> • Stewardship (sometimes referred to as active ownership) • Proxy voting • Shareholder engagement 	Stewardship Activities – 2.A.16-18, Sources and Types of ESG Information – 2.A.6.
ESG Best in Class	Best-in-class (sometimes referred to as positive screening or inclusionary screening)	ESG Screening Criteria – 2.A.9-10, Portfolio Level ESG Characteristics – 2.A.11-13, Portfolio Level Allocation Targets – 2.A.14-15, Sources and Types of ESG Information – 2.A.6.

*Note from [CSA Staff Notice 81-334](#): “The above terms and definitions have been included for illustrative purposes only, and the Notice does not require or endorse the use of the above names and definitions for these ESG strategies, or the ESG strategies themselves. As further discussed under “Investment objectives and fund names”, an ESG-Related Fund’s description of these ESG strategies must be written using plain language so that investors can understand the fund’s investment strategies.”

** Disclosures made under these requirements of the Global ESG Disclosure Standards for Investment Products does not guarantee that a fund will be identified by the CIFSC under a particular approach. A claim of compliance with the Global ESG Disclosure Standards for Investment Products is not a requirement for a fund to be identified.

Appendix B: ESG and Sustainability Scores

The CIFSC re-iterates that the Responsible Investment Identification framework is disclosure-based. As such, the identification of responsible investments relies on what is stated by investment fund manufacturers on regulatory filings or other documents. Investors and their advisors are encouraged to conduct their own research on investment products to determine if they are suitable for their specific investment objectives and gain an understanding of whether a fund is meeting stated responsible investment objectives. Several CIFSC members currently provide sustainability scores for Canadian-domiciled investment products without cost to retail investors. Methodologies for these ratings are available here:

[Fundata](#)

[Morningstar](#)

[Refinitiv](#)

The CIFSC acknowledges that the above providers are a subset of available ratings methodology, however we believe this list represents the majority of providers who actively cover Canadian-domiciled funds. Moreover, the CIFSC [welcomes all ratings providers](#) to submit links to their methodologies in addition to aiding the committee in identifying Canadian-domiciled responsible investing funds.

The CIFSC also recognizes the perceived conflict of interest in including links to 3rd party ratings methodologies. To this end, we remind stakeholders that fund-level ratings from the above providers are available free-of-charge to retail investors, to their benefit.

Appendix C: Qualifying Funds and Responsible Investing Flags

Fund Legal Name	CIFSC RI Identification Framework					
	CIFSC - ESG Exclusions	CIFSC - ESG Best-in-Class	CIFSC - ESG Integration and Evaluation	CIFSC - ESG Thematic Investing	CIFSC - ESG Related Engagement and Stewardship	CIFSC - Impact Investing
Acadian Sustainable Global Eq Fund, LLC	Yes		Yes	Yes		
Addenda Global Balanced Fund			Yes		Yes	Yes
Addenda Global Diversified Equity Fund			Yes		Yes	Yes
Addenda Impact Fixed Income Pooled Fund	Yes			Yes		Yes
Addenda Income Focus Fund			Yes		Yes	Yes
AGF Global ESG Equity Fund	Yes		Yes			
AGF Global Sustainable Balanced Class	Yes			Yes		
AGF Global Sustainable Balanced Fund	Yes			Yes		
AGF Global Sustainable Growth Equity ETF	Yes			Yes		
AGF Global Sustainable Growth Equity Fund	Yes			Yes		
AGFiQ Global ESG Factors ETF	Yes		Yes			
Black Diamond Impact Core Equity Fund	Yes		Yes	Yes		
BMO AM Responsible Global Equity ESG Fund	Yes		Yes		Yes	
BMO Balanced ESG ETF		Yes	Yes			
BMO Balanced ESG ETF GIF		Yes	Yes			
BMO Brookfield Global Renewables Infrastructure Fund	Yes			Yes		
BMO Clean Energy ETF Fund				Yes		
BMO Clean Energy Index ETF				Yes		
BMO ESG Corporate Bond Index ETF		Yes	Yes			
BMO ESG High Yield US Corporate Bond Index ETF		Yes	Yes			
BMO ESG US Corporate Bond Hedged to CAD Index ETF		Yes	Yes			

BMO MSCI ACWI Paris Aligned Climate Equity Index ETF	Yes			Yes		Yes
BMO MSCI Canada ESG Leaders Index ETF		Yes	Yes			
BMO MSCI China ESG Leaders Index ETF		Yes	Yes			
BMO MSCI EAFE ESG Leaders Index ETF		Yes	Yes			
BMO MSCI Global ESG Leaders Index ETF		Yes	Yes			
BMO MSCI India ESG Leaders Index ETF		Yes	Yes			
BMO MSCI USA ESG Leaders Index ETF		Yes	Yes			
BMO SDG Engagement Global Equity Fund	Yes	Yes	Yes	Yes	Yes	
BMO Sustainable Balanced Portfolio			Yes			
BMO Sustainable Bond Fund	Yes	Yes	Yes			
BMO Sustainable Conservative Portfolio			Yes			
BMO Sustainable Global Balanced Fund	Yes	Yes	Yes			
BMO Sustainable Global Multi-Sector Bond Fund	Yes	Yes	Yes			
BMO Sustainable Global Multi-Sector Bond GIF	Yes	Yes	Yes			
BMO Sustainable Growth Portfolio			Yes			
BMO Sustainable Income Portfolio			Yes			
BMO Sustainable Opportunities Canadian Equity Fund	Yes	Yes	Yes			
BMO Sustainable Opportunities China Equity Fund	Yes	Yes	Yes			
BMO Sustainable Opportunities Global Equity Fund	Yes	Yes	Yes	Yes		
BMO Sustainable Opportunities Global Equity GIF	Yes	Yes	Yes	Yes		
BMO Women in Leadership Fund				Yes		
Brompton Sustainable Real Assets Dividend ETF			Yes			
Canada Life Sustainable Balanced Portfolio			Yes			
Canada Life Sustainable Conservative Portfolio			Yes			
Canada Life Sustainable Emerging Markets Equity Fund		Yes	Yes		Yes	
Canada Life Sustainable Global Bond Fund		Yes	Yes		Yes	
Canada Life Sustainable Global Equity Fund		Yes	Yes		Yes	
Canada Life Sustainable Growth Portfolio			Yes			
Canada Life Sustainable Income (JPM)			Yes			

Canada Life Sustainable Target Date 2025 (JPM)			Yes			
Canada Life Sustainable Target Date 2030 (JPM)			Yes			
Canada Life Sustainable Target Date 2035 (JPM)			Yes			
Canada Life Sustainable Target Date 2040 (JPM)			Yes			
Canada Life Sustainable Target Date 2045 (JPM)			Yes			
Canada Life Sustainable Target Date 2050 (JPM)			Yes			
Canada Life Sustainable Target Date 2055 (JPM)			Yes			
Canada Life Sustainable Target Date 2060 (JPM)			Yes			
Canada Life ESG US Equity Fund	Yes		Yes		Yes	
Canada Life U.S. Carbon Transition Equity Fund				Yes	Yes	
CI Global Climate Leaders Fund				Yes		
CI Global Green Bond Fund	Yes		Yes	Yes		
CI Global Sustainable Infrastructure Fund	Yes		Yes	Yes	Yes	
CI Mosaic ESG Balanced ETF Portfolio			Yes			
CI Mosaic ESG Balanced Growth ETF Portfolio			Yes			
CI Mosaic ESG Balanced Income ETF Portfolio			Yes			
CI MSCI World ESG Impact ETF	Yes	Yes	Yes			Yes
CI MSCI World ESG Impact Fund	Yes	Yes	Yes			Yes
CIBC Clean Energy Index ETF				Yes		
CIBC Sustainable Balanced Growth Solution	Yes	Yes	Yes			
CIBC Sustainable Balanced Solution	Yes	Yes	Yes			
CIBC Sustainable Canadian Core Plus Bond Fund	Yes	Yes	Yes			
CIBC Sustainable Canadian Equity Fund	Yes	Yes	Yes			
CIBC Sustainable Conservative Balanced Solution	Yes	Yes	Yes			
CIBC Sustainable Global Equity Fund	Yes	Yes	Yes			
Co-operators NEI Select Balanced RS Portfolio	Yes		Yes		Yes	
Co-operators NEI Select Growth RS Portfolio	Yes		Yes		Yes	
Co-operators NEI Select Inc&Grow RS Portfolio	Yes		Yes		Yes	
Co-operators NEI Select Income RS Portfolio	Yes		Yes		Yes	

Desjardins fin'l SocieTerra Positive Change (Baillie Gifford)	Yes	Yes	Yes	Yes	Yes	
Desjardins RI Active Canadian Bond			Yes	Yes		
Desjardins RI Canada			Yes	Yes		
Desjardins RI Canada Multifactor			Yes	Yes		
Desjardins RI Developed ex-USA ex-Canada Low CO2 Index ETF			Yes	Yes		
Desjardins RI Developed ex-USA ex-Canada Multifactor			Yes	Yes		
Desjardins RI Emerging Markets Low CO2 Index ETF			Yes	Yes		
Desjardins RI Emerging Markets Multifactor			Yes	Yes		
Desjardins RI Global Multifactor	Yes		Yes	Yes		
Desjardins RI USA			Yes	Yes		
Desjardins RI USA Multifactor			Yes	Yes		
Desjardins SocieTerra 100% Equity Portfolio	Yes	Yes	Yes		Yes	
Desjardins SocieTerra American Equity ETF	Yes	Yes	Yes		Yes	
Desjardins SocieTerra American Equity Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra American Small Cap Equity Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Balanced Portfolio	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Canadian Bond Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Canadian Equity Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Canadian Equity Income Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Cleantech Fund	Yes	Yes	Yes	Yes	Yes	Yes
Desjardins SocieTerra Conservative Portfolio	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Diversity Fund	Yes	Yes	Yes	Yes	Yes	
Desjardins SocieTerra Emerging Markets Bond Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Emerging Markets Equity Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Global Opportunities Fund	Yes	Yes	Yes	Yes	Yes	
Desjardins SocieTerra Environmental Bond Fund	Yes	Yes	Yes	Yes	Yes	Yes
Desjardins SocieTerra Fixed Income Portfolio	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Global Balanced Fund	Yes	Yes	Yes	Yes	Yes	Yes
Desjardins SocieTerra Global Bond Fund	Yes	Yes	Yes	Yes	Yes	

Desjardins SocieTerra Global Corporate Bond Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Global Dividend Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Global Managed Bond Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Growth Portfolio	Yes	Yes	Yes		Yes	
Desjardins SocieTerra International Equity Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra International Small Cap Equity Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Low Volatility Global Equity Fund	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Maximum Growth Portfolio	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Moderate Portfolio	Yes	Yes	Yes		Yes	
Desjardins SocieTerra Positive Change	Yes	Yes	Yes	Yes	Yes	Yes
Desjardins SocieTerra Short-Term Income Fund	Yes	Yes	Yes		Yes	
DFA Global Sustainability Core Equity Fund				Yes		
DFS GIF - Balanced - Desjardins SocieTerra	Yes	Yes	Yes		Yes	
DFS GIF - Conservative - Desjardins SocieTerra	Yes	Yes	Yes		Yes	
DFS GIF - Growth - Desjardins SocieTerra	Yes	Yes	Yes		Yes	
DFS GIF - Maximum Growth - Desjardins SocieTerra	Yes	Yes	Yes		Yes	
DFS GIF 100% Equity Desjardins SocieTerra	Yes	Yes	Yes		Yes	
DFS GIF Moderate Desjardins SocieTerra	Yes	Yes	Yes		Yes	
Dynamic Active Energy Evolution ETF				Yes		
Dynamic Energy Evolution Fund				Yes		
Dynamic Sustainable Credit Fund			Yes			
Dynamic Sustainable Equity Fund			Yes			
EHP Global ESG Leaders Alternative Fund		Yes	Yes			
Emerge EMPWR Sustainable Dividend Equity ETF	Yes		Yes			
Emerge EMPWR Sustainable Emerging Markets Equity ETF	Yes		Yes			
Emerge EMPWR Sustainable Global Core Equity ETF	Yes		Yes			
Emerge EMPWR Sustainable Select Growth Equity ETF	Yes		Yes			
Emerge EMPWR Unified Sustainable Equity ETF	Yes		Yes			
Empire Life Global Sustainable Equity GIF			Yes			

Encasa Canadian Bond Fund			Yes			
Encasa Equity Fund			Yes			
Encasa Canadian Short-Term Bond Fund			Yes			
Equitable Life ClearBridge Sustainable Global Infrastructure Income Fund	Yes		Yes		Yes	
Equitable Life Fidelity Climate Leadership Balanced Fund		Yes		Yes		
Equitable Life Fidelity Climate Leadership Fund		Yes		Yes		
Equitable Life NASDAQ 100 ESG Index Fund	Yes	Yes	Yes			
Equitable Life S&P 500 ESG Index Fund	Yes	Yes	Yes			
Equitable Life S&P/TSX Composite ESG Index Fund	Yes	Yes	Yes			
Evolve S&P 500 CleanBeta Fund CAD Hedged				Yes		
Evolve S&P/TSX 60 CleanBeta Fund CAD Unhedged				Yes		
FÉRIQUE Global Sustainable Development Bond Fund	Yes		Yes			Yes
FÉRIQUE Global Sustainable Development Equity Fund	Yes		Yes			
Fidelity Climate Leadership Balanced Fund				Yes		
Fidelity Climate Leadership Bond Fund		Yes		Yes		
Fidelity Climate Leadership Fund		Yes		Yes		
Fidelity Sustainable World ETF		Yes	Yes			
Fidelity Sustainable World ETF Fund		Yes	Yes			
Fidelity Women's Leadership Fund				Yes		
Fidelity Women's Leadership Systematic Currency Hedged Fund				Yes		
Fiera Canadian Equity Ethical Fossil Fuel Free Fund	Yes			Yes		
Fiera Global Impact Fund		Yes	Yes			Yes
First Trust Nasdaq Clean Edge Green Energy ETF				Yes		
FMOQ omniresponsable Fund	Yes		Yes			
Franklin Brandywine Global Sustainable Balanced Fund	Yes	Yes	Yes			
Franklin Brandywine Global Sustainable Income Optimiser Active ETF	Yes	Yes	Yes			
Franklin Brandywine Global Sustainable Income Optimiser Fund	Yes	Yes	Yes			

Franklin ClearBridge Sustainable Global Infrastructure Income Active ETF	Yes		Yes		Yes	
Franklin ClearBridge Sustainable Global Infrastructure Income Fund	Yes		Yes		Yes	
Franklin ClearBridge Sustainable International Growth Active ETF	Yes		Yes		Yes	
Franklin ClearBridge Sustainable International Growth Fund	Yes		Yes		Yes	
Franklin ClearBridge Sustainable International Growth Fund II	Yes		Yes		Yes	
Franklin ClearBridge U.S. Sustainability Leaders Fund	Yes		Yes			
Franklin Global Aggregate Bond Active ETF (CAD-Hedged)	Yes		Yes			
Franklin Global Aggregate Bond Fund	Yes		Yes			
Franklin Innovation Active ETF	Yes		Yes		Yes	
Franklin Innovation Fund	Yes		Yes		Yes	
Franklin Martin Currie Sustainable Emerging Markets Fund	Yes		Yes			
Franklin Martin Currie Sustainable Global Equity Fund	Yes		Yes			
Franklin Sustainable Canadian Core Equity Fund	Yes	Yes	Yes			
Franklin Sustainable International Core Equity Fund	Yes	Yes	Yes			
Franklin Sustainable U.S. Core Equity Fund	Yes	Yes	Yes			
Franklin U.S. Opportunities Fund	Yes		Yes		Yes	
Franklin Western Asset Core Plus Bond Active ETF	Yes	Yes	Yes		Yes	
Franklin Western Asset Core Plus Bond Fund	Yes	Yes	Yes		Yes	
GEM Balanced Pool	Yes				Yes	
GEM Canadian Equity Pool	Yes				Yes	
GEM Fixed Income Pool	Yes				Yes	
GEM Global Equity Pool	Yes				Yes	
Genus Fossil Free CanGlobe Equity Fund	Yes					
Genus Fossil Free Corporate Bond Fund	Yes					
Genus Fossil Free Dividend Equity Fund	Yes					
Genus Fossil Free High Impact Equity Fund	Yes					
Global Iman Fund	Yes					
GreenWise Balanced Portfolio	Yes	Yes	Yes			

GreenWise Conservative Portfolio	Yes	Yes	Yes			
GreenWise Growth Portfolio	Yes	Yes	Yes			
Guardian Sustainable Balanced 40/60 Fund			Yes			
Guardian Sustainable Balanced 60/40 Fund			Yes			
Guardian Sustainable Growth 100 Fund			Yes			
Guardian Sustainable Growth 80/20 Fund			Yes			
Guardian Sustainable Income 100 Fund			Yes			
Guardian Sustainable Income 20/80 Fund			Yes			
Great-West Life SRI Canadian Equity (GWLIM)			Yes			
Harvest Clean Energy ETF				Yes		
Harvest ESG Equity Income Index ETF	Yes		Yes			
Horizons Active ESG Corporate Bond ETF		Yes	Yes			
Horizons Global Sustainability Leaders Index ETF	Yes	Yes	Yes	Yes	Yes	
Horizons S&P Green Bond Index ETF				Yes		Yes
HSBC Sustainable Balanced Fund	Yes		Yes			
IA Clarington Inhance Balanced SRI Portfolio	Yes		Yes		Yes	
IA Clarington Inhance Bond SRI Fund	Yes		Yes		Yes	
IA Clarington Inhance Canadian Equity SRI Class	Yes		Yes		Yes	
IA Clarington Inhance Conservative SRI Portfolio	Yes		Yes		Yes	
IA Clarington Inhance Global Equity SRI Class	Yes		Yes		Yes	
IA Clarington Inhance Global Equity SRI Fund	Yes		Yes		Yes	
IA Clarington Inhance Growth SRI Portfolio	Yes		Yes		Yes	
IA Clarington Inhance High Growth SRI Portfolio	Yes		Yes		Yes	
IA Clarington Inhance Moderate SRI Portfolio	Yes		Yes		Yes	
IA Clarington Inhance Monthly Inc SRI GIF Fund	Yes		Yes		Yes	
IA Clarington Inhance Monthly Income SRI Fund	Yes		Yes		Yes	
iA Climate Strategy (Wellington)				Yes		
iA Fidelity Climate Leadership Balanced		Yes		Yes		
iA SRI Balanced (Inhance) Ecoflex & My Education	Yes	Yes	Yes		Yes	

iA SRI Growth (Inhance)	Yes	Yes	Yes		Yes	
iA SRI Moderate (Inhance)	Yes	Yes	Yes		Yes	
iA Sustainable Canadian Equity (iAIM)			Yes		Yes	
IG Climate Action Portfolio Global Equity	Yes	Yes		Yes	Yes	
IG Climate Action Portfolio Global Equity Balanced	Yes	Yes		Yes	Yes	
IG Climate Action Portfolio Global Fixed Income Balanced	Yes	Yes		Yes	Yes	
IG Climate Action Portfolio Global Neutral Balanced	Yes	Yes		Yes	Yes	
IG Climate Action Portfolios - Betterworld Canada I	Yes	Yes	Yes		Yes	
IG Climate Action Portfolios - Betterworld Canada II	Yes	Yes	Yes		Yes	
IG Climate Action Portfolios - Betterworld Canada III	Yes	Yes	Yes		Yes	
IG Climate Action Portfolios - Betterworld Canada IV	Yes	Yes	Yes		Yes	
IG Mackenzie Betterworld SRI Class	Yes	Yes	Yes		Yes	
IG Mackenzie Betterworld SRI Fund	Yes	Yes	Yes		Yes	
IG/CL Summa SRI Segregated Fund	Yes	Yes	Yes		Yes	
Invesco ESG Canadian Core Plus Bd ETF Fund	Yes		Yes			Yes
Invesco ESG Canadian Core Plus Bond ETF	Yes		Yes			Yes
Invesco ESG Global Bond ETF	Yes		Yes			Yes
Invesco ESG NASDAQ 100 Index ETF	Yes	Yes	Yes			
Invesco ESG NASDAQ Next Gen 100 Index ETF	Yes	Yes	Yes			
Invesco Global Balanced ESG ETF Fund			Yes			
Invesco S&P 500 ESG Index ETF	Yes	Yes	Yes			
Invesco S&P 500 ESG Index ETF Fund	Yes	Yes	Yes			
Invesco S&P 500 ESG Tilt Index ETF	Yes	Yes	Yes			
Invesco S&P International Developed ESG Index ETF	Yes	Yes	Yes			
Invesco S&P International Developed ESG Tilt Index ETF	Yes	Yes	Yes			
Invesco S&P US Total Market ESG Index ETF	Yes	Yes	Yes			
Invesco S&P US Total Market ESG Tilt Index ETF	Yes	Yes	Yes			
Invesco S&P/TSX 60 ESG Tilt Index ETF	Yes	Yes	Yes			
Invesco S&P/TSX Composite ESG Index ETF	Yes	Yes	Yes			

Invesco S&P/TSX Composite ESG Index ETF Class	Yes	Yes	Yes			
Invesco S&P/TSX Composite ESG Tilt Index ETF	Yes	Yes	Yes			
IPC ESG Essentials Balanced Portfolio		Yes	Yes			
iShares ESG Advanced 1-5 Year Canadian Corporate Bond Index ETF	Yes	Yes	Yes			
iShares ESG Advanced Canadian Corporate Bond Index ETF	Yes	Yes	Yes			
iShares ESG Advanced MSCI Canada Index ETF	Yes	Yes	Yes			
iShares ESG Advanced MSCI EAFE Index ETF	Yes	Yes	Yes			
iShares ESG Advanced MSCI USA Index ETF	Yes	Yes	Yes			
iShares ESG Aware Canadian Aggregate Bond Index ETF	Yes	Yes	Yes			
iShares ESG Aware Canadian Short Term Bond Index ETF	Yes	Yes	Yes			
iShares ESG Aware MSCI Canada Index ETF	Yes	Yes	Yes			
iShares ESG Aware MSCI EAFE Index ETF	Yes	Yes	Yes			
iShares ESG Aware MSCI Emerging Markets Index ETF	Yes	Yes	Yes			
iShares ESG Aware MSCI USA Index ETF	Yes	Yes	Yes			
iShares ESG Balanced ETF Portfolio	Yes	Yes	Yes			
iShares ESG Conservative Balanced ETF Port	Yes	Yes	Yes			
iShares ESG Equity ETF Portfolio	Yes	Yes	Yes			
iShares ESG Growth ETF Portfolio	Yes	Yes	Yes			
iShares ESG MSCI Canada Leaders Index ETF	Yes	Yes	Yes			
iShares ESG MSCI EAFE Leaders Index ETF	Yes	Yes	Yes			
iShares ESG MSCI USA Leaders Index ETF	Yes	Yes	Yes			
iShares Global Clean Energy Index ETF	Yes			Yes		
iShares Jantzi Social Index ETF	Yes	Yes	Yes			
La Capitale Global Sustainable Growth Equity (AGF)	Yes			Yes		
Leith Wheeler Carbon Constrained Canadian Equity Fund	Yes		Yes	Yes		
Mackenzie Betterworld Canadian Equity Fund	Yes	Yes	Yes		Yes	
Mackenzie Betterworld Global Equity Fund	Yes	Yes	Yes		Yes	
Mackenzie Canadian Sustainable Bond Fund			Yes			
Mackenzie FuturePath Canadian Sustainable Equity Fund	Yes	Yes	Yes		Yes	

Mackenzie Global Green Bond Fund	Yes		Yes		Yes	Yes
Mackenzie Global Sustainable Balanced Fund	Yes	Yes	Yes		Yes	
Mackenzie Global Sustainable Bond ETF	Yes	Yes	Yes		Yes	
Mackenzie Global Sustainable Bond Fund	Yes	Yes	Yes		Yes	
Mackenzie Global Women's Leadership ETF	Yes		Yes	Yes	Yes	
Mackenzie Global Women's Leadership Fund	Yes		Yes	Yes	Yes	
Mackenzie Greenchip Global Environmental All Cap Fund	Yes		Yes	Yes		
Mackenzie Greenchip Global Environmental Balanced Fund	Yes		Yes	Yes		
Manulife Climate Action Balanced Fund	Yes	Yes	Yes	Yes		
Manulife Climate Action Bond Fund	Yes			Yes		
Manulife Climate Action Class	Yes	Yes		Yes		Yes
Manulife Climate Action Fund	Yes	Yes		Yes		Yes
Manulife Climate Action Balanced Segregated Fund	Yes	Yes	Yes	Yes		
MD Fossil Fuel Free Bond Fund	Yes			Yes		
MD Fossil Fuel Free Equity Fund	Yes			Yes		
Middlefield Global Energy Transition Class	Yes	Yes	Yes	Yes	Yes	
Middlefield International Clean Power Dividend	Yes		Yes	Yes		
Middlefield Sustainable Global Div ETF			Yes			
Middlefield Sustainable Infra Div ETF			Yes	Yes		
Middlefield Workplace Technology Dividend Fund		Yes	Yes	Yes	Yes	
Montrusco Bolton Global Equity ex-Fossil Fuel			Yes	Yes		
NBI Sustainable Canadian Bond ETF	Yes		Yes			Yes
NBI Sustainable Canadian Bond Fund			Yes			Yes
NBI Sustainable Canadian Corporate Bond ETF			Yes			Yes
NBI Sustainable Canadian Equity ETF	Yes		Yes			
NBI Sustainable Canadian Equity Fund	Yes		Yes			
NBI Sustainable Canadian Short Term Bond			Yes			Yes
NBI Sustainable Global Equity ETF	Yes		Yes			
NBI Sustainable Global Equity Fund	Yes		Yes			

NEI Canadian Bond Fund	Yes		Yes			
NEI Canadian Equity RS Fund	Yes		Yes		Yes	
NEI Canadian Small Cap Equity RS Fund	Yes		Yes		Yes	
NEI Clean Infrastructure Fund	Yes		Yes	Yes	Yes	Yes
NEI Environmental Leaders Fund	Yes		Yes	Yes	Yes	Yes
NEI ESG Canadian Enhanced Index Fund	Yes	Yes	Yes		Yes	
NEI Global Dividend RS Fund	Yes		Yes		Yes	
NEI Global Equity RS Fund	Yes		Yes		Yes	
NEI Global Growth Fund	Yes		Yes		Yes	
NEI Global High Yield Bond Fund	Yes		Yes			
NEI Global Impact Bond Fund	Yes		Yes		Yes	Yes
NEI Global Sustainable Balanced Fund	Yes		Yes		Yes	Yes
NEI Global Total Return Bond Fund	Yes		Yes		Yes	
NEI Global Value Fund	Yes		Yes		Yes	
NEI International Equity RS Fund	Yes		Yes		Yes	
NEI Select Balanced RS Portfolio	Yes		Yes		Yes	
NEI Select Growth & Income RS Portfolio	Yes		Yes		Yes	
NEI Select Growth RS Portfolio	Yes		Yes		Yes	
NEI Select Income & Growth RS Portfolio	Yes		Yes		Yes	
NEI Select Income RS Portfolio	Yes		Yes		Yes	
NEI Select Maximum Growth RS Portfolio	Yes		Yes		Yes	
NEI US Equity RS Fund	Yes		Yes		Yes	
Pender Emerging Markets Impact Fund			Yes			
PIMCO – IG Climate Bond Pool				Yes		
PIMCO Climate Bond Fund (Canada)	Yes	Yes		Yes		Yes
PIMCO ESG Income Fund (Canada)	Yes	Yes	Yes			
Portland Global Sustainable Evergreen Fund				Yes		Yes
Power Sustainable China Ascent Fund			Yes			
Purpose Global Climate Opportunities Fund			Yes	Yes	Yes	

Putnam – IG Sustainable Leaders Pool			Yes			
RBC Vision Balanced Fund	Yes		Yes			
RBC Vision Bond Fund	Yes		Yes			
RBC Vision Canadian Equity Fund	Yes		Yes			
RBC Vision Fossil Fuel Free Balanced Fund	Yes		Yes			
RBC Vision Fossil Fuel Free Bond Fund	Yes		Yes			
RBC Vision Fossil Fuel Free Emerging Markets Eq Fund	Yes		Yes			
RBC Vision Fossil Fuel Free Global Equity Fund	Yes		Yes			
RBC Vision Fossil Fuel Free Short-Term Bond Fund	Yes		Yes			
RBC Vision Global Equity Fund	Yes		Yes			
RBC Vision QUBE Fossil Fuel Free Low Vol Cdn Eqty Fd	Yes		Yes			
RBC Vision Women's Leadership MSCI Canada Index ETF	Yes			Yes		
RGP Impact Fixed Income Portfolio	Yes		Yes			Yes
Rockefeller IG Climate Solutions Pool				Yes		
Russell Investments ESG Global Equity Pool	Yes		Yes		Yes	
Scotia Low Carbon Canadian Fixed Income Fund	Yes		Yes	Yes		
Scotia Low Carbon Global Balanced Fund	Yes		Yes	Yes		
Scotia Low Carbon Global Equity Fund	Yes		Yes	Yes		
Scotia Responsible Investing Canadian Bond Index ETF	Yes					
Scotia Responsible Investing Canadian Equity Index ETF	Yes					
Scotia Responsible Investing International Equity Index ETF	Yes					
Scotia Responsible Investing US Equity Index ETF	Yes					
SSQ Fisher ESG U.S. Small Cap Equity GIF	Yes		Yes			
Stone Global ESG Strategy Fund			Yes			
Stone Global Sustainability Fund			Yes			
Sun Life KBI Sustainable Infrastructure Private Pool	Yes	Yes	Yes	Yes		Yes
T. Rowe Price – IG Global Impact Pool			Yes	Yes		
Tangerine Balanced Growth SRI Portfolio	Yes					
Tangerine Balanced Income SRI Portfolio	Yes					

Tangerine Balanced SRI Portfolio	Yes					
Tangerine Equity Growth SRI Portfolio	Yes					
TD Morningstar ESG Canada Corporate Bond Index ETF	Yes	Yes	Yes			
TD Morningstar ESG Canada Equity Index ETF	Yes	Yes	Yes			
TD Morningstar ESG International Equity Index ETF	Yes	Yes	Yes			
TD Morningstar ESG U.S. Equity Index ETF	Yes	Yes	Yes			
TD North American Sustainability Balanced Fund		Yes	Yes			
TD North American Sustainability Bond Fund			Yes			Yes
TD North American Sustainability Equity Fund		Yes	Yes			
TD Morningstar ESG U.S. Corporate Bond Index ETF	Yes	Yes	Yes			
Templeton Emerging Markets Fund	Yes		Yes		Yes	
Templeton Sustainable Global Balanced Fund	Yes	Yes	Yes		Yes	
Waratah Alternative ESG Fund			Yes			
Wealthsimple Developed Markets ex North America Socially Responsible Index ETF	Yes					
Wealthsimple North America Socially Responsible Index ETF	Yes					
Wealthsimple North American Green Bond Index ETF	Yes					Yes
Wealthsimple Shariah World Equity Index ETF	Yes					